Significant Issue in 11/12 AGS ➢ action already taken & future planned action as at June 2012	Current Status As at November 2012	CMT Lead
1. **Information Governance** ➢ Officer Governance Group monitored work of Information Governance Group during 2011/12. ➢ Action plan in place. ➢ Included on Corporate Risk Register. ➢ Audit work underway. Increased participation in Information Governance Group. Achievement of compliance with recognised Information Governance Toolkit. Awareness campaign and training. Further Audit work planned for 2012/13.	Increased directorate participation in Officer Governance Group. Submission of compliance against toolkit completed as part of the Public Health preparations. One audit completed on Corporate Information Governance. Three more planned – Electronic Document Management; Service Compliance and Provider Compliance. PI and Data Quality audit provided full assurance. Information Governance Road Map created and reviewed by Information Governance Group. Monitoring of breaches and lessons learned internally but also those publicised via the Information Commissioner relating to other organisations. Governance Group have asked Information Governance Group to particularly focus on the risks brought about by joint working.	Group Director Finance & Commerce

Significant Issue in 11/12 AGS	Current Status	CMT Lead
➢ action already taken & future planned action as at June 2012	As at November 2012.	
2. Austerity – having to maintain services with fewer resources. ➢ Transformation 2014 has established a range of corporately managed, programmes to deliver savings. ➢ Ongoing financial modelling and a risk-based approach to budget monitoring. ➢ Robust Risk Management and Governance processes have been implemented for transformation. ➢ Impact is assessed as part of process to achieve of savings. Re launch of Risk Management approach for Service Areas to increase efficiency and communication of risk. Intelligence gathering leading to assessment of impact of proposed change to local government funding on corporate financial position	New Risk Management approach launched. CMT reviewing one Corporate Risk at every Strategic Business Meeting. Financial Challenges was first on agenda. Equalities Analysis training rolled out. Planned programme of savings is monitored. Regular reports to Corporate Management Team and Cabinet. Soft Audit reviews of savings delivery completed on a quarterly basis as part of the governance process around transformation, and now raised in parallel with quarterly revenue monitoring reports. Financial risk analysis reviewed and updated for 7th November cabinet report Peer review completed recently has produced a good report. Working groups on impact of academies to our financial position. Fraud e-learning available to staff. Focus on fraud prevention via risk assessment and action plan.	Group Director Finance & Commerce

Significant Issue in 11/12 AGS	Current Status	CMT Lead
➢ action already taken & future planned action as at June 2012	As at November 2012.	
3. Pace of Organisational Change – ensuring governance arrangements are revised and remain appropriate given the significant changes in the organisation. ➢ People and Change programme part of Havering 2014 Transformation. ➢ Focus groups established to monitor and assess impact of significant changes (Public Heath, Homes in Havering). ➢ Corporate Management Team assessing impact of legislative changes to ensure organisation is prepared. New Ways of Funding group set up to assess impact of changes in local authority funding Review for Officer Governance Group to provide assurance. Work to continuously improve the Internal Shared Service.	New Ways of Funding group continues to meet. Governance streams to the work plan for Public Health and Homes in Havering transition to pick up issues. New Risk Management Approach launched. Operational Risk Management Group formed. Customer Advisory Board being set up to oversee continuous development of Oracle suite. Regular meetings taking place between ACE Legal & Democratic and Head of Finance & Performance on governance related issues.	Chief Executive

Significant Issue in 11/12 AGS ⮞ action already taken & future planned action as at June 2012	Current Status As at November 2012.	CMT Lead
4. Fraud – ensuring new and existing systems and processes are not vulnerable to fraud risks. ⮞ Investigation and management action regarding 2011/12 incident. ⮞ E Learning of Fraud and Corruption launched. ⮞ Fraud Risk Assessment and action plan produced. ⮞ Training Plan produced. Implementation of training plan. Monitoring and review of Risk Assessment. Targeted proactive work. Restructure of fraud resources.	Developments made to Oracle system. Training has commenced. Risk Assessment reviewed six months on and in light of latest publication by Audit Commission 'Protecting the Public Purse'. Increased fraud resources business case agreed.	Group Director Finance & Commerce